

Revised Ugnay Award Guidelines and Criteria

1. The evaluation for the *Ugnay* Award is a two-step process: the Internal Screening Committee (ISC) handles the first stage and the External Evaluation Committee (EEC) handles the second stage.

2. ISC Evaluation

The ISC evaluation shall be based on the annual reports of the consortia and during oral presentation.

The reports must be comprehensive and contain complete information on the accomplishments for each year. Activities should reflect the collective accomplishments of the regional consortium during the two consecutive years prior to the year of the award. The report should highlight the intervention/assistance provided by the regional consortium to its member institutions.

All annual reports of the regional R&D consortia should be endorsed by the respective Regional Research and Development Coordinating Council (RRDCC) Chairperson and submitted to PCAARRD in two hard copies and an electronic copy not later than March 31st of the following year. Reports received beyond the deadline will be excluded from the evaluation for the award.

Each of the regional consortia will be evaluated every year based on their accomplishments in the following major criteria:

Internal Screening Criteria	Percentage Share
R&D Management and Coordination	30
Strategic R&D	25
R&D Results Utilization	25
Capability Building and Governance	10
Policy Analysis and Advocacy	10
TOTAL	100%

The ISC is composed of the Deputy Executive Director for ARMSS, Deputy Executive Director for R&D, and representatives from PCAARRD divisions.

The regional consortia shall be informed of the results of the yearly ISC Evaluation. At the end of the 2nd year, the top six (6) regional consortia shall be forwarded to the EEC. Only those who garnered a minimum average rating of 85% are qualified.

The RRDCC Chairperson or the Consortium Director of the top six (6) regional consortia shall present their accomplishments to the ISC and EEC

3. EEC Evaluation

The EEC will be composed of one Senior Expert/representative each from the following:

- Agriculture sector
- Aquatic sector

- Natural resources sector
- Directors' Council
- DOST regional director from a regional consortium not contending for the *Ugnay* Award.

Oral Presentation

The presentation criteria will be as follows:

Presentation Criteria	Percentage Share
Coherence to accomplishments of the five major criteria	80
<ul style="list-style-type: none"> • R&D Management and Coordination 	(24)
<ul style="list-style-type: none"> • Strategic R&D 	(20)
<ul style="list-style-type: none"> • R&D Results Utilization 	(20)
<ul style="list-style-type: none"> • Capability Building and Governance 	(8)
<ul style="list-style-type: none"> • Policy Analysis and Advocacy 	(8)
Clarity/Conciseness of information presented	10
Responses to inquiries/clarification	10
TOTAL	100%

The computation of the rating to determine the top three consortia finalists who will undergo field validation shall be as follows:

Internal Screening Committee (ISC) rating	-	50%
Annual Report Evaluation (40%)		
Oral Presentation (10%)		
External Evaluation Committee (EEC) rating		
during oral presentation	-	<u>10%</u>
TOTAL		60%

Field Validation

For further evaluation, the EEC shall visit each of the top three regional R&D consortia. The following criteria will be used for field validation:

Field Validation Criteria	Percentage Share
Level and degree/extent of S&T coordination	30
<ul style="list-style-type: none"> • R&D Management and Coordination <ul style="list-style-type: none"> ○ Resource sharing ○ Resource generation ○ R&D planning, formulation, monitoring, and evaluation • Strategic R&D • R&D results utilization • Capability Building and Governance • Policy Analysis and Advocacy 	

Leadership <ul style="list-style-type: none"> • Innovativeness/creativity • New strategies • Linkages 	30
Impact/Outcome of programs/activities <ul style="list-style-type: none"> • Impact of the consortium programs and activities to develop R&D capabilities of member-agencies • Benefits to clients/beneficiaries • Sustainability and institutionalization of PCAARRD – initiated programs 	40
TOTAL	100%

The final rating will be computed as follows:

ISC rating	-	50%
EEC rating	-	50%
Oral Presentation (10%)		
Field Validation (40%)		
TOTAL	-	100%

Based on the ratings, the EEC will rank the top three finalists. These will be recommended to the Governing Council (GC) for confirmation.

4. PRIZES

The *Ugnay* Award, conferred every two years during the PCAARRD Anniversary Celebration, carries a grant of ₱2,000,000.00 and a trophy.

A cash incentive of ₱1,500,000.00 will be given to the first runner up and ₱1,000,000.00 to the second runner up and a plaque.

The regional consortium that has won the *Ugnay* Award for three times (not necessarily consecutive) starting 2020 will receive the Hall of Fame Award with a cash grant of ₱3,000,000.00 and a trophy and will not be eligible to receive the award for two (2) awarding seasons after receipt of the award.

The Hall of Fame Awardee shall still be included in the evaluation of the annual performance of the consortia. A cash incentive of ₱1,000,000.00 will be given to the Hall of Fame awardee obtaining a relative rating comparable within the top 3 ISC ranking.

The cash incentive will be utilized by the Consortia subject to the submission and approval of the proposal on strategic R&D, RDRU, capability building programs, policy analysis and advocacy, and other consortia activities.